



TECH CENTER 1600/2900 ATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of)
• •) FOR: MARINE ACTINOMYCETE
William FENICAL et al.) TAXON FOR DRUG AND
) FERMENTATION PRODUCT
Serial No.: 09/991,518) DISCOVERY
)
Filed: November 16, 2001) Group
	Art Unit: 1645

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Attention:

Examiner

Dear Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to patentability of the invention disclosed and claimed in the above-cited application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:				
September 10, 2002				
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Karen L. Johnson				
(Typed-Name)				
face of flower				
(Signature)				
September 10, 2002				
(Date of Signature)				

Applicants are submitting herewith copies of the references which are set forth on the attached Form PTO/SB/08A. As all relevant parts of the references are in the English language, no explanation of the references is provided herein.

This Information Disclosure Statement is submitted within three (3) months of the filing date of the above-cited application or of the date of entry into the national phase of the application or prior to the mailing date of a first Office Action thereon, whichever has occurred last, such that no fee is required.

Further, while the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specifically designated as such.

Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

Respectfully submitted,

Dated: September 10, 2002

Colleen J. McKiernan Registration No. 48,570

BROWN MARTIN HALLER & McCLAIN LLP 1660 Union Street San Diego, California 92101

Telephone: (619) 238-0999 Facsimile: (619) 238-0062

Docket No.: 6627-PA1022

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			Application Number	09/991,518	
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	11/16/2001		
		First Named Inventor	FENICAL, William		
		Group Art Unit	1645		
		Examiner Name	unknown		
Total Number of Pages in This Submission 193			Attorney Docket Number	r 6627PA1022	
		ENCLOS	SURES (check all that ap	ply)	
Amendmer Afte Afte Affic Extension of Express Ab Information Certified Concurrent(Response Incomplete Res Part	Attached at / Response er Final davits/declaration(s) of Time Request andonment Request Disclosure Statement opy of Priority s) to Missing Parts/ Application ponse to Missing s under 37 CFR	Drawing Licensin Petition and Acc Petition Provision Change Address Termina Small En	g-related Papers Routing Slip (PTO/SB/69) ompanying Petition to Convert to a nal Application f Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s) (please identify below): Form PTO/SB/08A (3 pp.); 18 cited references; return postcard	
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